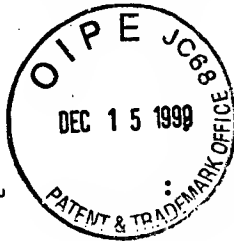


FILE COPY

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

KATSUYA WATANABE ET AL

Serial No: 09/430,040

Filed: October 29, 1999

Title: OPTICAL DISK APPARATUS

: Attn: Application Processing  
Division's Customer Correction  
Branch

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents,  
Washington, D. C.

Sir:

Enclosed herewith is a copy of the Official Filing Receipt for the above-identified application marked in red indicating corrections to be made thereto.

Accordingly, it is requested that the PTO issue a Corrected Filing Receipt reflecting these corrections.

Respectfully submitted,

KATSUYA WATANABE ET AL

By \_\_\_\_\_  
Dhiren R. Oedra  
Attorney for Applicants  
Registration No. 41,227

/eak  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., Ste. 800  
Washington, D. C. 20006  
Telephone (202) 721-8227  
December 15, 1999

RECEIVED  
APR - 6 2000  
TC 2700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: :  
KATSUYA WATANABE ET AL : Attn: Application Processing  
Serial No: 09/430,040 : Division's Customer Correction  
Filed: October 29, 1999 : Branch  
Title: OPTICAL DISK APPARATUS



REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents,  
Washington, D. C.

RECEIVED  
APR - 6 2000  
TC 2700 MAIL ROOM

Sir:

Enclosed herewith is a copy of the Official Filing Receipt for the above-identified application marked in red indicating corrections to be made thereto.

Accordingly, it is requested that the PTO issue a Corrected Filing Receipt reflecting these corrections.

Respectfully submitted,

KATSUYA WATANABE ET AL

By *Dhiren R. Odedra*  
Dhiren R. Odedra  
Attorney for Applicants  
Registration No. 41,227

/eak  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., Ste. 800  
Washington, D. C. 20006  
Telephone (202)721-8227  
December 15, 1999

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS            | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|------------------|--------|--------|
| 09/430,040         | 10/29/99    | 2753         | \$1,306.00    | 01489               | <del>29</del> 28 | 12     | 10     |

WENDEROTH LIND & PONACK  
2033 K STREET NW  
SUITE 800  
WASHINGTON DC 20006

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

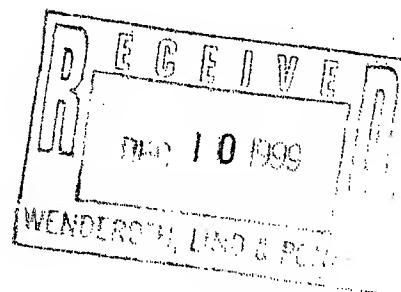
Applicant(s) KATSUYA WATANABE, OSAKA-FU, JAPAN; MITSUROU MORIYA,  
NARA-KEN, JAPAN; SHIN-ICHI YAMADA, OOSAKA-FU, JAPAN;  
YASUAKI EDAHIRO, OSAKA-FU, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A DIV OF 08/688,294 07/29/96

|                       |       |           |          |
|-----------------------|-------|-----------|----------|
| FOREIGN APPLICATIONS- | JAPAN | 07-191680 | 07/27/95 |
|                       | JAPAN | 08-081245 | 04/03/96 |

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/08/99  
TITLE  
OPTICAL DISK APPARATUS

PRELIMINARY CLASS: 369



DATA ENTRY BY: TYSON, LASHONNAH

TEAM: 04 DATE: 12/08/99

|||||

(See reverse for new important information)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS         | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|---------------|--------|--------|
| 09/430,040         | 10/29/99    | 2753         | \$1,306.00    | 01489               | <del>29</del> | 12     | 10     |

28

WENDEROTH LIND & PONACK  
2033 K STREET NW  
SUITE 800  
WASHINGTON DC 20006

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) KATSUYA WATANABE, OSAKA-FU, JAPAN; MITSUROU MORIYA,  
NARA-KEN, JAPAN; SHIN-ICHI YAMADA, OOSAKA-FU, JAPAN;  
YASUAKI EDAHIRO, OSAKA-FU, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF 08/688,294 07/29/96

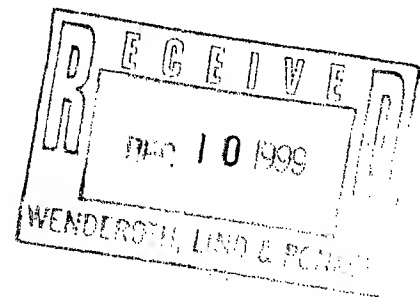
|                       |       |           |          |
|-----------------------|-------|-----------|----------|
| FOREIGN APPLICATIONS- | JAPAN | 07-191680 | 07/27/95 |
|                       | JAPAN | 08-081245 | 04/03/96 |

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/08/99

TITLE

OPTICAL DISK APPARATUS

PRELIMINARY CLASS: 369



DATA ENTRY BY: TYSON, LASHONNAH TEAM: 04 DATE: 12/08/99



(See reverse for new important information)